## **Computer Vision and Deep Learning**

Transformers

Matthias Fulde

WS 2023/24

## Transformer

- Network architecture originally developed for natural language processing tasks
  - But now also widely adapted to other domains like computer vision
  - State of the art in many tasks
- ▶ Designed to process sequential data in parallel unlike recurrent neural networks
- Computation is based on attention mechanism
  - Provides context for each element in a sequence
  - Easier to learn global relationships
- Architecture behind large language models like BERT or GPT

Attention Is All You Need, Vaswani et al., 2017

#### Benchmarks

Machine translation on WMT2014 English-German



Figure from https://paperswithcode.com/sota/machine-translation-on-wmt2014-english-german

#### Benchmarks

#### ► Question answering on SQuAD1.1



Figure from https://paperswithcode.com/sota/question-answering-on-squad11

#### Speech recognition on LibriSpeech test-clean



#### Figure from https://paperswithcode.com/sota/speech-recognition-on-librispeech-test-clean

#### Benchmarks

Image classification on ImageNet



#### Figure from https://paperswithcode.com/sota/image-classification-on-imagenet

#### Benchmarks

Object detection on COCO



Figure from https://paperswithcode.com/sota/object-detection-on-coco

### Encoder-Decoder Architecture

Original transformer is composed of encoder and decoder networks



## Network Components

Encoder and decoder networks are constructed as stacks of identical blocks



- Each block consists of a **self-attention** sublayer and a small feed-forward network
- Decoder blocks also have an additional **cross-attention** sublayer in between



#### Self-Attention

- Mechanism analogous to cognitive attention of humans
- ▶ Put more focus on important parts of the input and less on unimportant parts



Figure from <a href="https://commons.wikimedia.org">https://commons.wikimedia.org</a>

## Queries, Keys, and Values

- Transformers use attention based on feature vectors\*
- Each element of the input sequence is represented as a vector  $\mathbf{x}_i$
- ▶ Parameter matrices  $\mathbf{W}^Q, \mathbf{W}^K$ , and  $\mathbf{W}^V$  are used to project each input vector
- Result is a query vector, a key vector, and a value vector

$$\mathbf{q}_i = \mathbf{W}^Q \mathbf{x}_i \qquad \mathbf{k}_i = \mathbf{W}^K \mathbf{x}_i \qquad \mathbf{v}_i = \mathbf{W}^V \mathbf{x}_i$$

which are typically of lower dimension than the input

\* For different implementations see https://en.wikipedia.org/wiki/Attention\_(machine\_learning)

# Queries, Keys, and Values



- For each sequence element, its query vector is multiplied with all key vectors in the sequence to compute a score
- Idea is to find out which elements of the sequence are most important for the current element



For more stable gradients, the raw dot product scores are scaled with

where  $d_k$  is the dimension of the key vectors

► The softmax function

$$\mathsf{Softmax}(\mathbf{s})_i = rac{e^{\mathbf{s}_i}}{\sum_j e^{\mathbf{s}_j}}$$

 $\frac{1}{\sqrt{d_k}}$ 

is used to normalize the scores into a probability distribution

- $\blacktriangleright$  Each normalized score is in the range (0,1) and the scores sum up to one
- ▶ Note that attention is computed also with respect to the element itself



## Output

- For each sequence element, all value vectors are weighted with the normalized scores
- The weighted values are then summed up to generate the output for the respective sequence element



## Parallel Computation

- Other than in recurrent networks, sequence elements can be processed in parallel
  - Input embeddings are represented as design matrix X
  - The inputs are multiplied with the parameters to generate query, key, and value matrices Q, K, and V
  - The outputs are then computed as

$$\mathsf{Attention}(Q,K,V) = \mathsf{Softmax}\left(\frac{QK^\top}{\sqrt{d_k}}\right) V$$



- ► So far we discussed only attention with a single attention head
- Problematic because we can expect that for each sequence element, the element itself has the most importance and less attention is paid to other elements
- Solution is to compute multiple attention maps using different parameter matrices, such that inputs are projected into different representational spaces
- ▶ Result is that each element can attend to multiple parts of the sequence

## Different Parameters



## Different Outputs

• Each attention head generates a different output matrix  $Z_h$ 



#### Concatenation and Projection

• Another matrix  $W^O$  is used to project the concatenated outputs



2) Multiply with a weight matrix W<sup>o</sup> that was trained jointly with the model



 The result would be the Z matrix that captures information from all the attention heads. We can send this forward to the FFNN





# Summary



#### Encoder Blocks

- Each encoder block is composed of a multi-head self-attention sublayer and a small two-layer feed-forward network
- There are residual connections passing both sublayers and layer normalization is applied after merging the branches



Using layer normalization in transformers means that mean and variance are computed across all features of a single input sequence



Figure from https://theaisummer.com/normalization/

#### Placement

- The previously described architecture is also known as **Post-LN** transformer architecture
- A more stable training with easier hyperparameter tuning can be achieved using a **Pre-LN** transformer architecture
- Here the normalization is applied before the self-attention and fully-connected sublayers



Figure from On Layer Normalization in the Transformer Architecture, Xiong et al., 2020

- Decoder blocks work pretty much the same as encoder blocks except that they have an additional cross-attention sublayer between the self-attention and fully-connected sublayers
- ► In the cross-attention sublayer, only query matrices Q<sub>h</sub> are computed from the current input sequence
- The key and value matrices  $K_h$  and  $V_h$  are computed from the output of the last encoder block
- Idea is that when generating a new output element, each decoder block should have access to the feature representation of the input sequence created by the encoder network

#### Overview



- ► The decoder generates output elements step by step
- The first and last elements of the output sequence are special symbols denoting the start and end of the sequence
- In order to allow self-attention in the decoder blocks, the elements corresponding to not yet generated outputs are masked

						KEYS																KEYS	6			
	N	MASK					MASK						T1	т2	тз	т4	<b>T</b> 5					T1	T2	тз	Т4	Т5
0	1	1	1	1		0	-1e9	-1e9	-1e9	-1e9		T1	4.27	0.29	7.9	10.0	5.1	Ŷ			т1	4.27	-1e9	-1e9	-1e9	-1e9
0	0	1	1	1		0	0	-1e9	-1e9	-1e9	S	T2	0.29	9.0	6.8	3.5	.80	SEQUI		s	T2	0.29	9.0	-1e9	-1e9	-1e9
0	0	0	1	1	x (- 1e9) =	0	0	0	-1e9	-1e9	+	тз	7.9	3.1	0.97	2.6	8.1	ENCE L	=	JERIE	тз	7.9	3.1	0.97	-1e9	-1e9
0	0	0	0	1		0	0	0	0	-1e9	ð	т4	10.0	2.0	1.0	0.92	4.8	ENGTH		g	т4	10.0	2.0	1.0	0.92	-1e9
0	0	0	0	0		0	0	0	0	0		Т5	5.1	1.3	8.1	.56	0.94	Ŷ			т5	5.1	1.3	8.1	.56	0.94
													< SEQUENCE LENGTH>								< :	SEQUE	JENCE LENGTH>			
Create the look-ahead mask Multipl							y mask	by -1e		Add mask to attention matrix								M	asked	attenti	ttention matrix					

## Output



- Assuming natural language input, we have to translate this into a numerical representation before giving it to the transformer
- ► Some algorithms that can be used to perform this task are word2vec and GloVe
- Ideally, vector representations should be low dimensional while preserving contextual similarity



Efficient Estimation of Word Representations in Vector Space, Mikolov et al., 2013 GloVe: Global Vectors for Word Representation, Pennington et al., 2014 Figure from Glossary of Deep Learning: Word Embedding, Jaron Collis

## **Positional Encoding**

- Transformer as described so far has no built-in mechanism to take into account the order of the sequence
- To address this problem, a **positional encoding** can be added to the elements of the sequence
- Position encodings can be computed using a fixed function or implemented as a learnable position bias
- Absolute or relative positions can be encoded

## Fixed Function Positional Encoding

- Original transformer uses a fixed function positional encoding based on sinusoids
- The created encoding vectors have the same length as the feature vectors for the elements of the sequence, so that they can be added together



#### Formula

- The encoding vectors contain sine and cosine functions with different frequencies in each dimension
- With pos being the position within the sequence and i being the dimension, the vector components are computed with

$$\mathsf{PE}_{pos,2i} = \sin\left(\frac{pos}{10000^{2i/d_{\mathsf{model}}}}\right)$$

and

$$\mathsf{PE}_{pos,2i+1} = \cos\left(\frac{pos}{10000^{2i/d} \text{model}}\right)$$

where  $d_{model}$  is the dimension of the feature vectors

## Visualization



## Vision Transformers

- ► Transformers have been successfully adapted to the vision domain
- Main challenges for the application are
  - Grid structure of images instead of sequential structure of language
  - Computational complexity, since computational cost is quadratic in the number of sequence elements
  - ► No inductive bias for locality as in convolutional neural networks
  - Training typically requires large amount of data
- Main advantage is
  - Transformers can take into account the whole image context and are therefore more capable to learn relationships between distant parts of an image

#### Architecture

- First vision transformers developed to be close to original architecture, but only the encoder network is used
- Images are partitioned into non-overlapping patches which are converted into vectors



An image is worth  $16\times16$  Words: Transformers for Image Recognition at Scale, Dosovitskiy et al., 2021

Easier optimization and faster convergence can be achieved by using a convolutional stem to extract low-level image features



Early Convolutions Help Transformers See Better, Xiao et al., 2021

## Swin Transformer

- More advanced approach to adapt transformers to the vision domain
- Main idea is to attend only within local windows to reduce computational cost, but to shift and merge these windows in subsequent layers in order to retain the ability to learn global dependencies



Figure from Swin Transformer: Hierarchical Vision Transformer using Shifted Windows, Liu et al., 2021

- Image is partitioned into patches as in original vision transformer
- ► Attention is only computed between patches belonging to the same window
- Shifting windows allows to make connections across previous window boundaries



Figure from Swin Transformer: Hierarchical Vision Transformer using Shifted Windows, Liu et al., 2021

Proposed network architecture and view on subsequent transformer layers



Figure from Swin Transformer: Hierarchical Vision Transformer using Shifted Windows, Liu et al., 2021