# Image classification: Linear classifier

Computer Vision Winter Semester 20/21 Goethe University

Acknowledgement: Some images are from various and the state of the sources: UCF, Stanford cs231n, etc.

#### What we did last week

#### ❖ Image histogram

# ❖ Image classification: o data-driven approach o K-nn

### Today's class

# ❖ Image classification: o Linear classifier o Gradient descent

# Data driven approach



# Training, validation, testing



# Training, validation, testing

Data Permitting:



### K-fold cross-validation



# Image classification with a Linear Classifier

- Given a test image *x*, produce the confidence score for each class using linear transformation (total: *K* classes)
- Higher confidence score for a class -> more likely to be the ground-truth class
- Test image *x*: flatten to a Dx1 column vector, D is the image resolution times number of channel



Input *x*: Dx1 Weight *W*: KxD Bias *b*: Kx1 Score *s*:Kx1

# Image classification with a Linear Classifier

Score function:

$$
s = f(x;W,b) = Wx + b
$$



Input *x*: Dx1 Weight *W*: KxD Bias *b*: Kx1 Score *s*:Kx1

$$
s = f(x;W,b) = Wx + b
$$

- **Testing**: W, b are fixed, x is the input
- Training: Given N training samples (x<sub>i</sub>, y<sub>i</sub>), y<sub>i</sub> takes value in [1,...,K], learn W and b
- The ground truth class is  $y_i$

$$
s = f(x;W,b) = Wx + b
$$

Training: (x<sub>i</sub>,y<sub>i</sub>) are given and fixed; W, b are the variables to be determined

Example:

K=3,  $\{cat, dog, ship\}$ 



 $y_i = 2$ 

$$
s = f(x;W,b) = Wx + b
$$

• **Testing**: W, b are fixed, x is the input



$$
s = f(x;W,b) = Wx + b
$$

• Training: learn W, b to discriminate the classes

• Each row of W extracts the features of a specific class from input

$$
s = f(x;W,b) = Wx + b
$$

• **Shorthand notation (equivalent to the above notation):**

$$
s = [W b][x 1]^T
$$
  

$$
W \quad x
$$

$$
s = f(x;W) = Wx
$$

Input *x*: (D+1)x1 Weight *W*: Kx(D+1) Score *s*:Kx1

$$
s = f(x;W,b) = Wx + b
$$

• **QUESTION: For image classification, what other ways can we compute x from the original image?**



# Loss function

• **Training**:

Given:

- N training samples (x<sub>i</sub>, y<sub>i</sub>),
- $y_i$  takes value in  $[1,...,K]$ ,
- learn W

$$
s = f(x;W,b) = Wx + b
$$

# Loss function

- Training: Given N training samples (x<sub>i</sub>, y<sub>i</sub>), y<sub>i</sub> takes value in [1,...,K], learn W
- Loss function: measure how consistent are the ground-truth labels and the score function outputs, for some W
- Small loss: good W

# Loss function

- Training: Given N training samples (x<sub>i</sub>, y<sub>i</sub>), y<sub>i</sub> takes value in [1,...,K], learn W
- Loss function: measure how consistent are the ground-truth labels and the score function outputs, for some W
- Small loss: good W

#### **EXAMPLES OF LOSS FUNCTIONS:**

- Softmax classifier with cross-entropy loss
- Multiclass Support Vector Machine (SVM) loss

• Regard output of the score function f(x; W) as the *unnormalized log probability* of each class

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 $f(x;W,b) = Wx + b$ 

• Probability of each class can be obtained by applying a softmax function (exp, then normalize):

$$
softmax(f) = \frac{e^{fm}}{\sum_{j=1}^{K} e^{f_j}}
$$

For the m-th class

• Probability of each class can be obtained by applying a softmax function (exp, then normalize):

$$
softmax(f) = \frac{e^{f_m}}{\sum_{j=1}^{K} e^{f_j}}
$$

For the m-th class

• Cross-entropy loss (apply  $-\log(.)$  to only the ground-truth class):

$$
L_i = -\log \frac{e^{f_{y_i}}}{\sum_{j=1}^K e^{f_j}}
$$

For the i-th training sample

• Cross-entropy loss (apply  $-\log(.)$  to only the ground-truth class):

$$
L_i = -\log \frac{e^{f_{y_i}}}{\sum_{j=1}^{K} e^{f_j}}
$$

For the i-th training sample

#### Example: a dog (which looks like a dog):



• Cross-entropy loss (apply  $-\log(.)$  to only the ground-truth class):

$$
L_i = -\log \frac{e^{f_{y_i}}}{\sum_{j=1}^K e^{f_j}}
$$

For the i-th training sample



Example: a dog (which looks like a dog)

print(np.exp(f)) print(np.exp(f) / sum(np.exp(f)))

stretch pixels into single column

[ 9.12628762e-043 1.50505935e+190 2.41762966e+026]  $[6.06373937e-233 1.00000000e+000 1.60633510e-164] \rightarrow Probability$ 

 $y_i = 2$  $L_i = -log(1) = 0$ 

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• Cross-entropy loss (apply  $-\log(.)$  to only the ground-truth class):

$$
L_i = -\log \frac{e^{f_{y_i}}}{\sum_{j=1}^K e^{f_j}}
$$

For the i-th training sample

#### Exercice: a dog (which does not look like a dog):

stretch pixels into single column  $-0.5$ 10  $0.2$  $2.0$  $0.1$  $1.1$  $1.3$  $2.1$  $0.0$  $1.5$ 63  $3.2$  $\mathbf{0}$  $0.25$  $0.2$  $-0.3$  $-1.2$ 5 W 70  $\boldsymbol{b}$  $x_i$ 

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• The entire loss for N training samples  $(x_i, y_i)$ :

$$
L = \frac{1}{N} \sum_i L_i
$$

- We determine W to minimize this loss given the training dataset
- Additional regularization of W

#### • **Cross-entropy loss:**

- First apply softmax function
- Then apply –log(.) to only the ground-truth class

$$
L_i = -\log \frac{e^{f_{y_i}}}{\sum_{j=1}^K e^{f_j}}
$$

For the i-th training sample

#### • **Cross-entropy loss:**

- First apply softmax function
- Then apply –log(.) to only the ground-truth class

$$
L_i = -\log \frac{e^{f_{y_i}}}{\sum_{j=1}^{K} e^{f_j}}
$$
 For the i-th  
training sample  
in the term 
$$
\frac{e^{f_{y_i}}}{\sum_{j=1}^{K} e^{f_j}}
$$
 is the probability of the correct class  
(i.e.,  $y_i$ )

- Therefore, want this to be large, i.e.,  $max_{W} log(.)$
- Thus, want this to be small min<sub>W</sub>  $-\log(.)$

For the i-th

• Why min -log(.) or max log(.)?

$$
L_i = -\log \frac{e^{f_{y_i}}}{\sum_{j=1}^K e^{f_j}}
$$

• The term  $\frac{e^{i\theta}$  is the probability, between [0,1]  $e^{f_{y_i}}$  $\sum_{j=1}^K e^{f_j}$ 

- Stretch the numerical range during min/max
- Often used when working with probability
- $p1xp2$  is small:  $log(p1xp2) = log(p1) + log(p2)$
- Maximum Likelihood Estimation (MLE)
	- Minimize the negative log likelihood of the correct class



• Why exp before normalization?

$$
L_i = -\log \frac{e^{f_{y_i}}}{\sum_{j=1}^K e^{f_j}}
$$

• Much higher confidence if the activation is large (clear images)

 $s = np.array([1,2])$ print(np.exp(s) / sum(np.exp(s)))

[ 0.26894142 0.73105858]

 $s = np.array([10, 20])$ print(np.exp(s) / sum(np.exp(s)))

[ 4.53978687e-05 9.99954602e-01]



**Another interpretation of the cross-entropy loss**

$$
L_i = -\log \frac{e^{f_{y_i}}}{\sum_{j=1}^K e^{f_j}}
$$

- Difference between:
	- Final section of the model (estimated) prob Q:  $\operatorname{softmax}(f) = \frac{e^{fm}}{\sum K}$  $\sum_{j=1}^K e^{f_j}$
	- The data (true) prob P: [0,0,....,1,....,0] (1 at the y<sub>i</sub>-th position)
	- $-$  Measured by Kullback-Leibler (KL) divergence (D<sub>KI</sub>=0 when P, Q are "the same"

#### **Another interpretation of the cross-entropy loss**

 $-$  The model (estimated) prob Q:  $\operatorname{softmax}(f) = \frac{e^{fm}}{\sum K}$  $\sum_{j=1}^K e^{f_j}$ 

- The data (true) prob P: [0,0,....,1,....,0] (1 at the y<sub>i</sub>-th position)
- $-$  Measured by Kullback-Leibler (KL) divergence (D<sub>KI</sub>=0 when P, Q are "the same"

$$
D_{\mathrm{KL}}(P\|Q) = -\sum_i P(i) \, \log \frac{Q(i)}{P(i)} \quad \text{Want Q to be} \quad
$$

- Another interpretation of the cross entropy loss  $L_i = -\log \frac{e^{f_{y_i}}}{\sum K_i}$
- Difference between P and Q as measured by Kullback-Leibler (KL) divergence

 $\sum_{j=1}^K e^{f_j}$ 

$$
D_{\text{KL}}(P||Q) = -\sum_{i} P(i) \log \frac{Q(i)}{P(i)}
$$
  
\n
$$
D_{\text{KL}}(P||Q) = -\sum_{x} p(x) \log q(x) + \sum_{x} p(x) \log p(x)
$$
  
\n
$$
= H(P,Q) - H(P)
$$
  
\nCross- entropy of Entropy of P: H(P) = 0  
\nP and Q in this case

• Additional regularization of W (L2 or L1 norm of weights):

$$
R(W) = \sum_{k} \sum_{l} W_{k,l}^2
$$

$$
R(W) = \sum_{k} \sum_{l} |W_{k,l}|
$$

- Prefer small  $W_{k,l}$ , less likely to overfit the training dataset
- Regularize only W, not the bias b

• Additional regularization of W (L2 or L1 norm of weights)

$$
R(W) = \sum_{k} \sum_{l} W_{k,l}^{2} \qquad R(W) = \sum_{k} \sum_{l} |W_{k,l}|
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• The entire loss for N training samples  $(x_i, y_i)$ : data loss and regularization loss

$$
L = \frac{1}{N} \sum_{i} L_i + \lambda R(W)
$$

• We determine W to minimize this loss given the training dataset

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$$

- We determine W to minimize this loss given the training dataset
- **QUESTION**: how can we determine lambda? 36

# Multiclass SVM loss

• **SVM loss:** The correct class has a score higher than the incorrect class by some fixed margin d

$$
L_i = \sum_{j \neq y_i} \max(0, s_j - s_{y_i} + d)
$$

• For this test image i, **zero score** contributed by class j iff

$$
0 \geq s_j - s_{yi} + d
$$

 $s_{\rm vi}$  >=  $s_{\rm i}$  + d

Correct class score  $s_{vi}$  is higher than class j score  $s_i$  by at least d (otherwise, +ve. contribution of loss from class j)

## Multiclass SVM loss

 $L_i = \sum_{i} \max(0, s_j - s_{y_i} + d)$ 

 $j \neq y_i$ 

#### **EXAMPLE**

- $S = [13, -7, 11]$
- $y_i = 1$ For test image i
- $\cdot$  d=10

 $L_i = max(0, -7 - 13 + 10) + max(0, 11 - 13 + 10)$ 

 $= 0 + 8$ 

- Ground-truth score 13 is higher than -7 by more than the margin d=10
- Ground-truth score 13 is not higher than 11 by d=10

Train W so that the correct class  $y_i$  has a score higher than the incorrect classes by at least d 38

EXERCISE SOLUTION:

a dog (which does not look like a dog)

• Cross-entropy loss (apply –log(.) to only the ground-truth class): For the i-th

 $L_i = -\log \frac{e^{f_{y_i}}}{\sum K_i}$ training  $\sum_{j=1}^K e^{f_j}$ stretch pixels into single column sample  $0.2$  $-0.5$  $0.1$  $2.0$  $1.1$ 10  $1.3$  $2.1$  $0.0$  $3.2$  $1.5$  $63 +$  $0.25$  $0.2$  $\mathbf{0}$  $-0.3$  $-1.2$ 5 W  $\boldsymbol{h}$ 70  $x_i$ print(f)  $y_i = 2$ print(np.exp(f)) print(np.exp(f) / sum(np.exp(f)))  $L_i = -log(0.182) = 1.7$ [ 112.1 110.6 -5.45] [ 4.83516636e+48 1.07887144e+48 4.29630469e-03] 39 [ 8.17574476e-01 1.82425524e-01 7.26458780e-52]  $\longrightarrow \longrightarrow \text{Probability}$ 

$$
s = f(x;W,b) = Wx + b
$$

- After learning of the parameter W, do not need the training data in deployment
- Fast in deployment
- How to learn W?

### Today's class

# ❖ Image classification: o Linear classifier o **Gradient descent**

- **Testing**: W, b are fixed, x is the input
- Training: Given N training samples (x<sub>i</sub>, y<sub>i</sub>), y<sub>i</sub> takes value in  $[1,...,K]$ , learn W and b

$$
s = f(x;W,b) = Wx + b
$$

Training: (x<sub>i</sub>,y<sub>i</sub>) are given and fixed; W, b are the variables to be determined

# Learn W using loss function L(W)

• Try different W (randomly), choose the one with the min loss function

$$
L = \frac{1}{N} \sum_{i} L_i + \lambda R(W)
$$
 N training samples

- W is very large: Kx(D+1)
- Even larger in deep neural network
- Start from a random W, iteratively improve W (reduce L(W)): *Gradient descent*

# Learn W using loss function L(W)

• Try different W (randomly), choose the one with the min loss function

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L = \frac{1}{N} \sum_{i} L_i + \lambda R(W)
$$
 N training samples

- W is very large: Kx(D+1)
- Even larger in deep neural network
- Start from a random W, iteratively improve W (reduce L(W)): *Gradient descent*
- Note:  $L(W) = L(W; (x_1,y_1), (x_2,y_2), ... (x_i,y_i)...(x_N,y_N))$

• Update W by W+ΔW, using the gradient

• **Gradient**: a vector of partial derivatives in each dimension

• Update W by W+ΔW, using the gradient

$$
w'_l = w_l - \gamma \frac{\partial L}{\partial w_l}
$$

$$
L = \frac{1}{N} \sum_{i} L_i + \lambda R(W)
$$

N training samples

• Update W by W+ΔW, using the gradient

$$
w'_l = w_l - \gamma \frac{\partial L}{\partial w_l}
$$

$$
L = \frac{1}{N} \sum_{i} L_i + \lambda R(W)
$$

$$
\frac{\partial L}{\partial w_l} = \frac{1}{N} \sum_i \frac{\partial L_i}{\partial w_l} + \lambda \frac{\partial R(W)}{\partial w_l}
$$

• Update W by W+ΔW, using the gradient

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w'_l = w_l - \gamma \frac{\partial L}{\partial w_l}
$$

$$
L = \frac{1}{N} \sum_{i} L_i + \lambda R(W)
$$

$$
\frac{\partial L}{\partial w_l} = \frac{1}{N} \sum_i \frac{\partial L_i}{\partial w_l} + \lambda \frac{\partial R(W)}{\partial w_l}
$$

-Sum gradients for all (partial) training samples for one  $w_1$ -Make one update of W once we have the whole gradient vector (dim: Kx(D+1))

Update W by W+ $\Delta$ W, using the gradient



-Sum gradients for all (partial) training samples for one  $w_1$ -Make one update of W once we have the whole gradient vector (dim: Kx(D+1))

# Gradient of one training sample: SVM loss

• SVM loss

$$
L_i = \sum_{j \neq y_i} \max(0, s_j - s_{y_i} + d)
$$

$$
L_i = \sum_{j \neq y_i} \max(0, \mathbf{w}_j^T x_i - \mathbf{w}_{y_i}^T x_i + d)
$$

- **wj** : j-th row of W
- Loss function of one training sample:  $L_i(W; (x_i, y_i))$  $\begin{array}{c}\n\end{array}$

# Gradient of one training sample

• SVM loss

$$
L_i = \sum_{j \neq y_i} \max(0, \mathbf{w}_j^T x_i - \mathbf{w}_{y_i}^T x_i + d)
$$

• For row j, 
$$
\mathbf{w}_j
$$
,  $j = \mathbf{y}_i$   
\n
$$
\nabla_{\mathbf{w}_j} L_i = - \left[ \sum_{j \neq y_i} \mathbf{I}(\mathbf{w}_j^T x_i - \mathbf{w}_{y_i}^T x_i + d > 0) \right] x_i
$$

• For row j,  $w_j$ , j <> y<sub>i</sub>

$$
\nabla_{\mathbf{w}_j} L_i = \mathbf{I}(\mathbf{w}_j^T x_i - \mathbf{w}_{y_i}^T x_i + d > 0) x_i
$$

**I**(cond) = 1 if cond is true, 0 otherwise

# Gradient of one training sample

• SVM loss

$$
L_i = \sum_{j \neq y_i} \max(0, \mathbf{w}_j^T x_i - \mathbf{w}_{y_i}^T x_i + d)
$$

- For row j,  $w_j$ ,  $j = y_i$  $\nabla_{\mathbf{w}_j} L_i = \sqrt{2}$  $\sum$  $j \neq y_i$  $\mathbf{I}(\mathbf{w}_j^T x_i - \mathbf{w}_{y_i}^T x_i + d > 0)$  $\overline{1}$  $\int x_i$
- Justification:

All the (K-1) terms of  $L_i$  involve  $w_{vi}$ ; some are zero; for nonzero one,  $grad = -x_i$ 

**I**(cond) = 1 if cond is true, 0 otherwise

• Update W by W+ΔW, using the gradient

$$
\nabla_{\mathbf{w}_j} L_i = -\left[\sum_{j \neq y_i} \mathbf{I}(\mathbf{w}_j^T x_i - \mathbf{w}_{y_i}^T x_i + d > 0)\right] x_i
$$
  
\n
$$
\Delta W = \gamma \left[\begin{array}{c}\text{dim: } D+1\\ \text{dim: } D+1\\ \text{c is the number of terms with loss}\end{array}\right]
$$

$$
\frac{\partial L}{\partial w_l} = \frac{1}{N} \sum_i \frac{\partial L_i}{\partial w_l} + \lambda \frac{\partial R(W)}{\partial w_l}
$$

• Update W by W+ΔW, using the gradient

$$
\nabla_{\mathbf{w}_j} L_i = -\left[\sum_{j \neq y_i} \mathbf{I}(\mathbf{w}_j^T x_i - \mathbf{w}_{y_i}^T x_i + d > 0)\right] x_i
$$
\n
$$
\Delta \mathbf{W} = \gamma \left[\begin{array}{c}\text{dim: } \mathbf{D} + \mathbf{1} \\ \text{dim: } \mathbf{D} + \mathbf{1} \\ \text{dim: } \mathbf{D} + \mathbf{1} \end{array}\right]
$$
\n
$$
\nabla_{\mathbf{w}_j} L_i = \mathbf{I}(\mathbf{w}_j^T x_i - \mathbf{w}_{y_i}^T x_i + d > 0) x_i \quad \text{if } \mathbf{w}_j = y_i
$$
\n
$$
\frac{\partial L}{\partial w_l} = \frac{1}{N} \sum_i \frac{\partial L_i}{\partial w_l} + \lambda \frac{\partial R(W)}{\partial w_l}
$$
\n
$$
\frac{\partial R(W)}{\partial w_l} = \frac{\partial L}{\partial w_l} \quad \text{if } \mathbf{w}_j = \frac{\partial L_i}{\partial w_l} + \lambda \frac{\partial R(W)}{\partial w_l}
$$

• Mini-batch gradient descent / stochastic gradient descent: use small batch (64, 128, 256) for one update of W

$$
\frac{\partial L}{\partial w_l} = \frac{1}{N_{batch}} \sum_i \frac{\partial L_i}{\partial w_l} + \lambda \frac{\partial R(W)}{\partial w_l}
$$

- Random sampling without replacement
- Mini-batch: average for each update of W
- An epoch: go through the entire training dataset (multiple updates of W)

# Gradient of one training sample: Cross-entropy loss

• Cross-entropy loss

$$
L_i = -\log \frac{e^{f_{y_i}}}{\sum_{j=1}^K e^{f_j}}
$$

• Or,  $p_m$  is the probability of the m-th class (output of softmax function)

$$
p_m = \frac{e^{f_m}}{\sum_{j=1}^K e^{f_j}}
$$

• Then

$$
L_i = -\log p_{y_i}
$$

# Gradient (linear classifier, crossentropy loss)

• Gradient matrix (for updating W):



See derivation in the document in Moodle

### Today's class

# ❖ Image classification: o Linear classifier o Gradient descent

#### Next week's class



#### $\dots$  **Convolutional Neural Networks**